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Bonnie L. Huntman  
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November 13, 2001  
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Bonnie L. Huntman  
Signature of person mailing transmittal

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: Unknown

Group Art Unit No.: 2812

Applicant(s): Parekh et al.

Filing date: August 30, 2001

Serial No.: 09/943,778

For (title): METHOD OF REDUCING ELECTRICAL SHORTS FROM THE BIT LINE TO THE CELL PLATE



**COMMUNICATION TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).  
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.  
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.  
☒ Preliminary amendment including Appendix A, B, C, and D.  
☐ Amendment or other communication in response to the non-final office action mailed.  
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.  
☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.  
☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).  
☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

**Remarks:**

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	\$EXTRA
Total claims	-	=	x \$18.00 =	
Indep. claims	-	=	x \$84.00 =	
First presentation of a multiple dep. claim (+\$280.00)				
<b>SUBTOTAL</b>				
Reduction for small entity - 50% of subtotal*				
<b>TOTAL ADDITIONAL FEE (subtotal minus any reduction)</b>				

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.  
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.  
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

*David L. Stott*

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Date: November 13, 2001  
Enclosures: As identified above

# 4/Phila  
N. Dupont  
1-22-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Parekh et al.

Serial No.: 09/943,778

Filed: August 30, 2001

For: METHOD OF REDUCING  
ELECTRICAL SHORTS FROM THE BIT  
LINE TO THE CELL PLATE

Examiner: Unknown

Group Art Unit: 2812

Attorney Docket No.: 4475.1US (98-1097.1)



CERTIFICATE OF MAILING

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November 13, 2001  
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Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Bonnie L. Huntsman  
Typed/printed name of person whose signature is contained above

PRELIMINARY AMENDMENT

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced patent application on the merits, entry of the amendments as set forth herein is respectfully solicited.